

1 The use of natural phospholipids as pharmaceutical excipients

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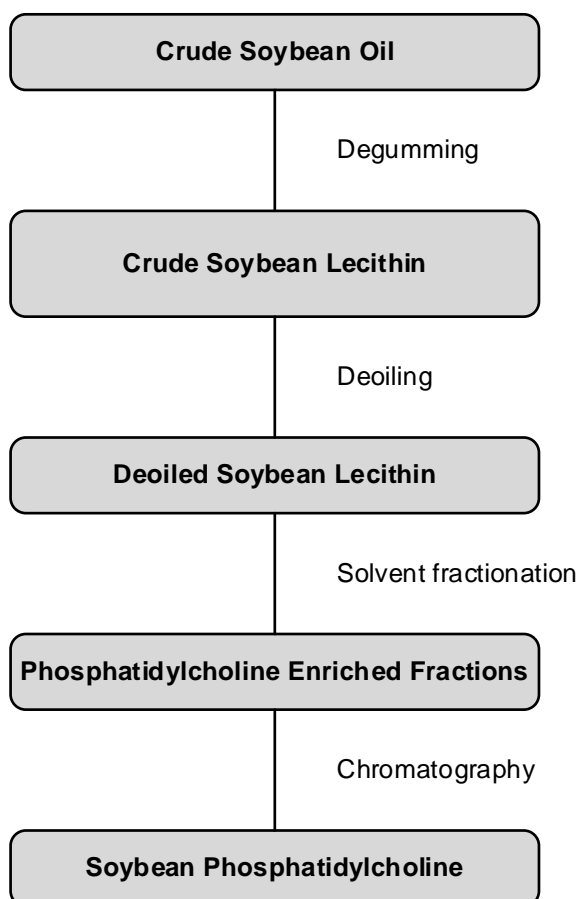
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11 Phospholipids are used in many types of formulations, such as fat emulsions, mixed micelles,
12 suspensions, and liposomal preparations for any administration route [1-3]. Phospholipids are
13 surface-active comprising a polar headgroup and a lipophilic tail. They are used as emulsifier,
14 wetting agent, solubilizer, and liposome former. The phospholipid molecule comprises a
15 glycerol backbone esterified in positions 1 and 2 with fatty acids and in position 3 with
16 phosphate, the latter being further esterified with an alcohol. The most common phospholipid
17 is phosphatidylcholine (PC), and PC is the main component of lecithin as described in the
18 United States Pharmacopoeia (USP). Phospholipids play, e.g., a role in cell membranes, have
19 digestion/metabolic functions [4] as lipoprotein component, and as source for acetylcholine (in
20 case of PC) and of (essential) fatty acids and energy [5].

21 Natural phospholipids are phospholipids isolated from natural sources such as soybean, rape-
22 and sunflower-seed and animal material, like egg yolk, milk, or krill. These raw materials are
23 world-wide produced at very large scale. The phospholipid compositions of the lecithins are
24 dependent on the raw material sources. In all cases, PC is the main phospholipid component.
25 Higher quality pharmaceutical grade phospholipids are obtained, with an excellent inter-batch
26 reproducibility, by validated extraction and chromatography procedures using non-toxic solvent
27 (Fig 1).

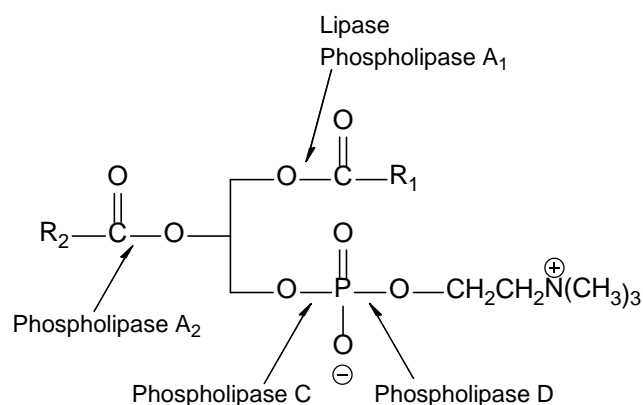


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2 **Figure 1.** Flow chart of the isolation process steps of soybean phosphatidylcholine from crude
3 soybean oil.

4 Control on the quality of the raw material and use of validated purification method guarantees
5 the quality of the phospholipid excipients. Egg phospholipids, isolated from hen egg yolk with
6 similar methods as for soybean lecithin, play an important role as excipient as well.

7 The natural phospholipids can be further modified to saturated phospholipids by hydrogenation
8 [6] and use of enzymes to make from soy PC, e.g., soy phosphatidylethanolamine (PE) and
9 soy phosphatidylglycerol (PG), respectively (Fig. 2). Besides natural phospholipids, synthetic
10 phospholipids are also being used in pharmaceutical products.



1

2 **Figure 2.** Enzymatic conversion possibilities of phosphatidylcholine [7].

3 In pharmaceutical products for oral and dermal administration, mainly soybean phospholipids
 4 are used. For dermal use also hydrogenated soybean phospholipids are applied. In parenteral
 5 products, natural phospholipids are prevalent, besides synthetic phospholipids, as described
 6 in the Inactive Ingredient (excipient) list of the US FDA (Table 1) [8].

7 **Table 1.** Examples of parenteral liposome products for human use containing natural
 8 phospholipids (in bold).

Drug Substance	Product	Main lipid excipients	Admin. Route	Indication
Therapeutic products				
Amphotericin B	AmBisome® ¹	HSPC ³ : DSPG ⁴ : Cholesterol	i.v.	Aspergillus, Candida- and/or Cryptococcus species infections, Visceral leishmaniasis
Doxorubicin hydrochloride	Doxil® ¹ / Caelyx® ¹	HSPC : Cholesterol : MPEG 2000-DSPE ⁵	i.v.	AIDS-related Kaposi's sarcoma, Metastatic ovarian cancer, Multiple myeloma
	Lipodox® ¹			AIDS-related Kaposi's sarcoma, Metastatic ovarian cancer, Metastatic breast cancer
	Myocet® ²	Egg PC : Cholesterol	i.v.	Metastatic breast cancer
Vincristine sulphate	Marqibo® ¹	SM ⁶ : Cholesterol	i.v.	Philadelphia chromosome- negative, acute lymphoblastic leukemia

9 ¹ See www.rxlist.com for detailed information; ² for details see [9]; ³ Hydrogenated soybean phosphatidylcholine;
 10 ⁴ 1,2-distearoyl-*sn*-glycero-3-phosphoglycerol; ⁵ *N*-(carbonyl-methoxypolyethylene glycol 2000)-1,2-distearoyl-
 11 phosphoethanolamine sodium salt; ⁶ sphingomyelin.

12 Egg phospholipids serve as emulsifier in emulsions for parenteral nutrition (e.g. Intralipid®)
 13 [10]. These emulsions can also be used as carrier for oil soluble drug substances such as
 14 diazepam (Diazemuls®) and propofol (Diprivan®) [11, 12].

15 Parenteral mixed micellar formulations, comprising soybean phospholipids and cholate salts,
 16 are either suitable as solubilizer for poorly water-soluble compounds such as vitamin K or the

1 soybean phospholipid is intended as active pharmaceutical ingredient (API) for treatment of
2 liver disorders [13]. These products underscore the safe intravenous use of soybean lecithin
3 [14].

4 Considering the products comprising phospholipids for pulmonary administration, natural as
5 well as synthetic phospholipids are being applied. The natural phospholipids are from bovine
6 or calf-lung extracts intended for treatment Respiratory Distress Syndrome, a disease in infants
7 characterized by an immature lung epithelium [15]. The inhalation product for systemic
8 treatment with levodopa, Inbrija® comprises 1,2-dipalmitoyl-*sn*-glycero-3-phosphocholine
9 (DPPC).

10 Natural phospholipids are well known to regulatory authorities and described in many
11 pharmacopoeias [16]. Regarding the toxicity of phospholipids, the World Health Organisation,
12 US FDA, and EU place no limit on the oral intake of lecithin as a food additive [17-19]. The
13 safe intravenous use of soybean and egg phospholipids is well documented [20].

14 In conclusion, natural phospholipids are derived from renewable sources and produced with
15 ecologically friendly processes and are available in large scale at relatively low costs. They
16 comply with all requirements of the regulatory authorities and are safe for any administration
17 route. For parenteral application, egg-, soybean-, and hydrogenated soybean phospholipids
18 are used, besides synthetic phospholipids. In oral administration soybean phospholipids
19 prevail, whereas for topical administration to the skin, soybean phospholipids and their
20 hydrogenated versions are popular. Inhalation products contain natural phospholipid extracts
21 and synthetic phospholipids. For more information on the pharmaceutical use of natural and
22 synthetic phospholipids reference is made to van Hoogevest, P and Wendel, A: "The use of
23 natural and synthetic phospholipids as pharmaceutical excipients" [16].

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